

Guidance for the Transport Sector on Sanctioned Ships and Aircraft

About this guidance

This guidance to the transport sector follows the decision of the New Zealand Government to impose sanctions to restrict some ships and aircraft from entering New Zealand, in response to Russia's invasion of Ukraine. This guidance has been issued by Te Manatū Waka Ministry of Transport, the Civil Aviation Authority, and Maritime New Zealand in consultation with the Ministry of Foreign Affairs and Trade and the Department of the Prime Minister and Cabinet.

This guidance provides the transport sector with information on those ships and aircraft that are restricted from entering New Zealand, the areas of New Zealand the ships and aircraft are restricted from, the exceptions to the restrictions, and the enforcement mechanisms for the restrictions. This guidance should be read together with the [Russia Sanctions Regulations 2022](#).

This guidance does not constitute legal advice, and transport sector entities are encouraged to seek their own legal advice on any specific legal questions they may have.

Situation summary

The [Russia Sanctions Act 2022](#) (the Act) enables New Zealand to impose and enforce sanctions in response to military actions by Russia, and by countries or persons who may be assisting Russia. The Act allows for sanctions to be set out in regulations, which can apply to persons, services, and assets (including ships and aircraft).

On 18 March 2022, the [Russia Sanctions Regulations 2022](#) came into force. They impose sanctions that restrict some ships and aircraft (associated with Russia and Belarus) from entering New Zealand.

We note the restrictions are different for the maritime and aviation domains. Further details are below.

The sanctions may occasionally be updated to include additional individuals or entities, and ships or aircraft connected to these individuals or entities may be subject to the sanctions. For the latest details on the individuals and entities subject to the sanctions, please see the [Russian Sanctions Register](#) on the Ministry of Foreign Affairs and Trade website.

You can subscribe to receive alerts when the Russian Sanctions Register is updated through the [Ministry of Foreign Affairs and Trade website](#).

Maritime sanctions

Ships subject to the sanctions

The ships¹ subject to the sanctions, and which are 'restricted ships', are:

- ships owned or controlled by the governments of Russia or Belarus
- military ships of Russia or Belarus

¹ See definition of ship at [clause 8\(5\)](#) of the Regulations

- ships chartered or operated by, or on behalf of, the governments of Russia or Belarus
- ships owned or controlled² by a specified sanctioned person subject to clause 8 of the Regulations
- ships chartered or operated by, or on behalf of, a specified sanctioned person subject to clause 8 of the Regulations
- ships registered in the name of specified sanctioned person subject to clause 8 of the Regulations.

Note a list of specified sanctioned persons subjected to clause 8 of the Regulations can be sourced by checking the [Russian Sanctions Register](#) on the Ministry of Foreign Affairs and Trade website (use the ‘individuals and entities’ tab in the spreadsheet, and then scroll across to the ‘ship ban’ column).

However, a ship is **not a restricted ship** if it is a New Zealand ship or a New Zealand-owned ship³.

Please note **not all** ships flagged to Russia or Belarus are ‘restricted ships’. **Only** the above-mentioned ships are ‘restricted ships’.⁴

Restricted activity

‘Restricted ships’ are restricted from entering a port in New Zealand, unless an exception applies. A New Zealand person⁵ may commit an offence under the Act if they, without lawful justification or excuse, knowingly or recklessly breach a sanction. A breach may occur if they:

- operate a restricted ship entering a port in New Zealand, unless an exception applies; or
- permit or authorise a restricted ship to enter a port in New Zealand, unless an exception applies.⁶

Please note the sanctions **do not** restrict a ‘restricted ship’ operating within New Zealand’s Exclusive Economic Zone or undertaking ‘innocent passage’⁷ in New Zealand’s territorial sea. **Only** entry into New Zealand ports is restricted.

Please note ships that are subject to the Sanctions that that government is aware of will be added to [Russian Sanctions Register](#) (use the ‘individuals and entities’ tab in the spreadsheet, and then scroll down to the listed sanctioned assets).

Exceptions to restricted activity

The Regulations provide exceptions to the restrictions. This means that, for otherwise ‘restricted ships’ may enter New Zealand ports:

- in a situation where an individual or ship is in danger or distress; or

² Refer to [clause 5\(3\)](#) of the Regulations

³ See definition of New Zealand ship and New Zealand-owned ship at [clause 8\(5\)](#) of the Regulations

⁴ Refer to [clause 8\(1\)](#) of the Regulations

⁵ See definition of New Zealand person at [clause 5](#) of the Regulations

⁶ Refer to [clause 8\(2\)](#) of the Regulations and [section 24](#) of the Act

⁷ As described in [Part II of the United Nations Conventions on the Law of the Sea](#)



- if the person is acting for the purpose of, or in connection with, the enforcement or intended enforcement of the Act.⁸

Enforcement

There is no enforcement mechanism in place to physically prevent or deter a ‘restricted ship’ from entering a port in New Zealand. If a ‘restricted ship’ enters a port in New Zealand in violation of the sanction’s regime, there are civil and criminal enforcement options available to the Government under the Act.

If a ship has **requested entry** into a port in New Zealand, but the ship is a ‘restricted ship’, Maritime New Zealand should be contacted.

If a ship has **entered** a port in New Zealand and the ship is a ‘restricted ship’:

- Maritime New Zealand should be contacted,
- a report should also be made to the New Zealand Police using the Police [105 report form](#).

Additionally, please note that a Standard Operation Procedure for responding to the entry of a ‘restricted ship’ into New Zealand has been circulated to the relevant entities.

We note this situation may occur if the information that the ship is a ‘restricted ship’ only becomes available after the ship has entered port, or if the ship enters port without permission or authorisation.

Aviation sanctions

Aircraft subject to the sanctions

The aircraft subject to the sanctions, and which are ‘restricted aircraft’, are:

- aircraft registered in Russia or Belarus
- aircraft owned or controlled by the governments of Russia or Belarus
- military aircraft of Russia or Belarus
- aircraft chartered or operated by, or on behalf of, the governments of Russia or Belarus
- aircraft owned or controlled by a specified sanctioned person subject to clause 9 of the Regulations
- aircraft chartered or operated by, or on behalf of, a specified sanctioned person subject to clause 9 of the Regulations
- aircraft registered in the name of a specified sanctioned person subject to clause 9 of the Regulations

Note a list of specified sanctioned persons subjected to clause 9 of the Regulations can be sourced by checking the [Russian Sanctions Register](#) on the Ministry of Foreign Affairs and Trade website (use the ‘individuals and entities’ tab in the spreadsheet, and then scroll across to the ‘aircraft ban’ column).

Please note, unlike the maritime sanctions, **all** aircraft registered in Russia or Belarus are ‘restricted aircraft’.⁹

⁸ Refer to [clause 8\(3\)](#) of the Regulations

⁹ Refer to [clause 9\(1\)](#) of the Regulations

Please note aircraft that are subject to the Sanctions that that government is aware of will be added to [Russian Sanctions Register](#) (use the ‘individuals and entities’ tab in the spreadsheet, and then scroll down to the listed sanctioned assets).

Restricted activity

‘Restricted aircraft’ are restricted from entering the territorial airspace of New Zealand (this includes overflight), unless an exception applies. A New Zealand person¹⁰ may commit an offence under the Act if they, without lawful justification or excuse, knowingly or recklessly:

- operate a restricted aircraft entering the territorial airspace of New Zealand, unless an exception applies; or
- permit or authorise a restricted aircraft to enter the territorial airspace of New Zealand, unless an exception applies.¹¹

Please note the sanctions **do not** restrict a ‘restricted aircraft’ operating within the Auckland Oceanic Flight Information Region. Also please note the definition of ‘territorial airspace of New Zealand’ is geographically different to the designated New Zealand Flight Information Region.¹²

Exceptions to restricted activity

The Regulations provide exceptions to the restrictions for otherwise ‘restricted aircraft’ entering the territorial airspace of New Zealand:

- for an emergency landing
- in the situation where an individual or aircraft is in danger or distress
- if the person is acting for the purpose of, or in connection with, the enforcement or intended enforcement of the [Act](#).¹³

Enforcement

There is no enforcement mechanism in place to physically prevent or deter a ‘restricted aircraft’ from entering New Zealand territorial airspace. If a ‘restricted aircraft’ enters the territorial airspace of New Zealand in violation of the sanction’s regime, there are civil and criminal enforcement options available to the government under the [Act](#).

If an aircraft has **requested entry** into the territorial airspace of New Zealand or to land at a New Zealand airport, but the aircraft is a ‘restricted aircraft’, the Civil Aviation Authority should be contacted.

If an aircraft has **entered** the territorial airspace of New Zealand or landed at a New Zealand airport, and the aircraft is a ‘restricted aircraft’:

- the Civil Aviation Authority should be contacted,
- a report should also be made to the New Zealand Police using the Police [105 report form](#).

Additionally, please note that a Standard Operation Procedure for responding to the entry of a ‘restricted aircraft’ into New Zealand has been circulated to the relevant entities.

¹⁰ See definition of New Zealand person at [clause 5](#) of the Regulations

¹¹ Refer to clause [9\(2\)](#) of the Regulations and [section 24](#) of the Act

¹² See definition of territorial airspace at clause [9\(4\)](#) of the Regulations

¹³ Refer to clause [9\(3\)](#) of the Regulations



TE MANATŪ WAKA
MINISTRY OF TRANSPORT



CIVIL AVIATION AUTHORITY
OF NEW ZEALAND
Te Mana Reerangi Tāmatanui o Aotearoa



Nō te rere moana Aotearoa
MARITIME
NEW ZEALAND



Te Kāwanatanga o Aotearoa
New Zealand Government

We note this situation may occur if the information that the aircraft is a 'restricted aircraft' only becomes available after the aircraft has entered the territorial airspace of New Zealand or landed at a New Zealand airport, or if the aircraft enters the territorial airspace of New Zealand or lands at an airport in New Zealand without permission or authorisation.

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